

Please type a plus sign (+) inside this box ☒

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Approved for use through 10/31/2002. OMB 0651-0046
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **LS/0024.00**First Inventor **Egli**Title **System and Methodology for Delivering Media to Multiple Disparate Client Devices Based on Their Capabilities**Express Mail Label No. **EF414686911US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **53**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
5. Oath or Declaration [Total Pages **0**]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certificate
Appendix A

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner _____

Group / Art Unit, _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label**28653**or ☐ Correspondence address belowName **John A. Smart**Address **708 Blossom Hill Rd., #201**City **Los Gatos**State **CA**Zip Code **95032-3503**Country **U.S.A.**Telephone **(408) 395-8819**

Fax

(408) 490-2853Name (Print/Type) **John A. Smart**

Registration No. (Attorney/Agent)

34,929

Signature

Date **11/08/2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

DUPLICATE

Docket No. LS/0024.00

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Egli |
| Examiner Name | Unassigned |
| Group Art Unit | Unassigned |
| Attorney Docket No. | LS/0024.00 |

TOTAL AMOUNT OF PAYMENT (\$) 928.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|-------------------------------------|
| 101 740 | 201 370 | Utility filing fee | <input type="text" value="370.00"/> |
| 106 330 | 206 165 | Design filing fee | <input type="text"/> |
| 107 510 | 207 255 | Plant filing fee | <input type="text"/> |
| 108 740 | 208 370 | Reissue filing fee | <input type="text"/> |
| 114 160 | 214 80 | Provisional filing fee | <input type="text"/> |

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 82 | -20** = 62 | X 9.00 | = 558.00 |
| 3 | -3** = 0 | X 42.00 | = 0.00 |
| Multiple Dependent | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 558.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------------------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | <input type="text"/> |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | <input type="text"/> |
| 139 130 | 139 130 | Non-English specification | <input type="text"/> |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | <input type="text"/> |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | <input type="text"/> |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | <input type="text"/> |
| 115 110 | 215 55 | Extension for reply within first month | <input type="text"/> |
| 116 400 | 216 200 | Extension for reply within second month | <input type="text"/> |
| 117 920 | 217 460 | Extension for reply within third month | <input type="text"/> |
| 118 1,440 | 218 720 | Extension for reply within fourth month | <input type="text"/> |
| 128 1,960 | 228 980 | Extension for reply within fifth month | <input type="text"/> |
| 119 320 | 219 160 | Notice of Appeal | <input type="text"/> |
| 120 320 | 220 160 | Filing a brief in support of an appeal | <input type="text"/> |
| 121 280 | 221 140 | Request for oral hearing | <input type="text"/> |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | <input type="text"/> |
| 140 110 | 240 55 | Petition to revive - unavoidable | <input type="text"/> |
| 141 1,280 | 241 640 | Petition to revive - unintentional | <input type="text"/> |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | <input type="text"/> |
| 143 460 | 243 230 | Design issue fee | <input type="text"/> |
| 144 620 | 244 310 | Plant issue fee | <input type="text"/> |
| 122 130 | 122 130 | Petitions to the Commissioner | <input type="text"/> |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | <input type="text"/> |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | <input type="text"/> |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | <input type="text"/> |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | <input type="text"/> |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | <input type="text"/> |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | <input type="text"/> |
| 169 900 | 169 900 | Request for expedited examination of a design application | <input type="text"/> |


Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | John A. Smart | Registration No. (Attorney/Agent) | 34,929 | Telephone | (408) 395-8819 |
| Signature |  | Date | 11/08/2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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| PATENT APPLICATION FEE DETERMINATION RECORD | | | | | Application or Docket Number LS/0024.00 | |
|--|---|------------------------------------|---------------|------------|---|-----------------|
| CLAIMS AS FILED - PART I | | | | | SMALL ENTITY OR OTHER THAN SMALL ENTITY | |
| (Column 1) | | (Column 2) | | | | |
| FOR | NUMBER FILED | NUMBER EXTRA | | | RATE | FEE |
| BASIC FEE (37 CFR 1.16(a)) | | | | | | 370.00 |
| TOTAL CLAIMS (37 CFR 1.16(c)) | | 82 | minus 20 = | * | 62 | x 9.00 = 558.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | | 3 | minus 3 = | * | 0 | x 42.00 = 0.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) | | | | | + 140.00 = | 0.00 |
| | | | | | TOTAL | 928.00 |
| * If the difference in column 1 is less than zero, enter "0" in column 2 | | | | | | |
| CLAIMS AS AMENDED - PART II | | | | | SMALL ENTITY OR OTHER THAN SMALL ENTITY | |
| (Column 1) | | (Column 2) | | (Column 3) | | |
| AMENDMENT A | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE | ADDITIONAL FEE |
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = | x \$ ____ = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = | x ____ = |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | + ____ = |
| | | | | | | TOTAL |
| AMENDMENT B | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE | ADDITIONAL FEE |
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = | x \$ ____ = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = | x ____ = |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | + ____ = |
| | | | | | | TOTAL |
| AMENDMENT C | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | | RATE | ADDITIONAL FEE |
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = | x \$ ____ = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = | x ____ = |
| | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | + ____ = |
| | | | | | | TOTAL |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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